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# **TRANSMITTAL FORM**

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	Application Number	09/888,326-Conf. #7237
	Filing Date	June 22, 2001
	First Named Inventor	George Weiner
	Art Unit	1635
	Examiner Name	J. E. Angell
	Attorney Docket Number	C1039.70052US00

	EN	CLOSURES (Check all tha	at app	<i>ly</i> )					
Fee Transn	nittal Form	Drawing(s)		After Allowance Communication to TC					
Fee A	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences					
Amendmen	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)					
After	Final	Petition to Convert to a Provisional Application		Proprietary Information					
Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Add	dress	Status Letter					
Extension	of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):					
Express Ab	pandonment Request	Request for Refund		European examination report dated 11.16.06 for European application					
X Information with Form F	Disclosure Statement PTO 1449	CD, Number of CD(s)		no. 01 948 684.4 (5pages) Copy of WO 00/75348 A1 (91 pages)					
Certified Co	opy of Priority s)	Landscape Table on CD	Return Receipt Postcard						
	issing Parts/ Application	Remarks							
	y to Missing Parts under FR 1.52 or 1.53								
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
WOLF, GAEENFIELD & SACKS, P.C.									
Signature									
Printed name	Alan W. Steele, M.D., Ph.D.								
Date	Date December 7, 2006 Reg. No. 45,128								

I hereby certify that this paper (along with any paper refe	icate of Mailing Under 37 CFR 1.8(a) rred to as being attached or enclosed) is being deposited with the U.S. Postal Service on ss Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for
Dated: December 7, 2006 Signature	(Alan W. Steele, M.D., Ph.D.)

DOCKET NO.: C1039.70052US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

George Weiner et al.

Serial No.:

09/888326

Confirmation No.:

7237

Filed:

June 22, 2001

For:

METHODS FOR ENHANCING ANTIBODY-INDUCED CELL

LYSIS AND TREATING CANCER

Examiner:

J. E. Angell

Art Unit:

1635

# CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 7th day of December, 2006.

Alan W. Steele, M.D., Ph.D., Reg. No. 45,128

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

# PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

### PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this

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Serial No.: 09/888326 -2 - Art Unit: 1635

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communication was not received by the office of the undersigned more than thirty (30) days prior to the filing of this Information Disclosure Statement.

#### PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The Applicant would like to bring to the Examiner's attention the enclosed examination report from corresponding European application no. 01 948 684.4 dated November 16, 2006.

## PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

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Conf. No.: 7237

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Alan W. Steele, M.D., Ph.D.,

Reg. No. 45,128

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

Telephone: (617) 646-8000

Docket No.: C1039.70052US00 Date: December 7, 2006

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FORM PTO In Cross of B (modified PTO/SB/08)				APPLICATION NO.:	APPLICATION NO.: 09/888326 ATTY. DOCKET NO.: C10	
				FILING DATE: June 22, 2001 CONFIRMATION NO.: 7237		CONFIRMATION NO.: 7237
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT:	George Weiner et a	al.
				GROUP ART UNIT:	1635	EXAMINER: J. E. Angell
Sheet	1	of	1	OROGI ART UNIT.	1033	DAAWIINDK. J. D. Aligeli

#### U.S. PATENT DOCUMENTS

Examiner's	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or Issue of Cited Document MM-DD-YYYY	
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#### FOREIGN PATENT DOCUMENTS

Examiner's	Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation
Initials #	No.	Office/ Country	Number	Kind Code	Document	Cited Document MM-DD-YYYY	(Y/N)
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#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials #	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER:	DATE CONSIDERED:

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]

<sup>#</sup> EXAMINER: Initial if reference considered, whether or notcitation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_, filed \_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).